



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/854,356
Filing Date	May 9, 2001
First Named Inventor	Cheever, Martin A., et. al.
Art Unit	1646
Examiner Name	Misook Yu
Attorney Docket Number	014058-009811US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
my	AA	US-2002/0155527 A1	10-24-2002	Stuart et al.	
	AB	US-6,015,567	01-18-2000	Hudziak et al.	
	AC	US-6,333,169 B1	12-25-2001	Hudziak et al.	
	AD	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
my	AE	PCT	WO 96/18409	A1	06-20-1996			<input type="checkbox"/>
	AF	PCT	WO 01/74855	A2	10-11-2001			<input type="checkbox"/>
	AG	PCT	WO 99/46988	A1	09-23-1999			<input type="checkbox"/>
	AH	PCT	WO 91/02062	A2	02-21-1991			<input type="checkbox"/>
	AI	PCT	WO 90/14357	A1	11-29-1990			<input type="checkbox"/>
	AJ	PCT	WO 00/44899	A1	08-03-2000			<input type="checkbox"/>
	AK	EP	0 474 727	B1	07-23-1997			<input type="checkbox"/>
	AL	EP	0 444 181	B1	10-31-2001			<input type="checkbox"/>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
my	AM	DISIS, MARY L. et al., "Peptide-Based, but Not Whole Protein, Vaccines Elicit Immunity to HER-2/neu, an Oncogenic Self-Protein", <u>The Journal of Immunology</u> , 1996, pp. 3151-3158, Vol. 156, The American Association of Immunologists.	
	AN		
	AO		

Examiner
Signature

misook yu

Date
Considered

12-05-03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1435878 v1

FORM PTO-1449 (Modified)			Attorney Docket No.: 14058-009810US		Application No.: 09/493,480	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Cheever, et al.			
			Filing Date: January 28, 2000		Group: 1642	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AD	6,015,567	1/18/00	Hudziak, et. al.			
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
AE	WO 90/14357 A1	11/29/90	PCT			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
AF	Disis, et al., "Peptide-Based, but Not Whole Protein, Vaccines Elicit Immunity to HER-2/neu, an Oncogenic Self-Protein"; <i>The American Association of Immunologists</i> pp. 3151-3158 (1996)					
EXAMINER						
DATE CONSIDERED						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JC872 U.S. PRO
09/854356
05/09/01

